

**UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF VIRGINIA
HARRISONBURG DIVISION**

UNITED STATES OF AMERICA

v.

RAUL TRONCO-RAMIREZ,

Defendant

Case No. 5:10cr00028-4

**REPORT AND
RECOMMENDATION**

**By: Hon. James G. Welsh
U.S. Magistrate Judge**

In accordance with the provisions of Title 28 U.S.C. § 636(b)(3) and upon the defendant's informed and written consent, this case was referred to the undersigned for the purpose of conducting a plea hearing.

The Grand Jury previously returned a multi-count Indictment charging in **Count One** that from on or about May 2009 to on or about June 30, 2010 this defendant knowingly and intentionally combined, conspired, confederated and agreed with other persons, known and unknown to the Grand Jury, to distribute five hundred (500) grams or more of a mixture and substance containing a detectable amount of methamphetamine, a Schedule II controlled substance, in violation of 21 U.S.C. §§ 841(a)(1) and 841(b)(1)(A), all in violation of Title 21, United States Code, Section 846; charging in **Count Four** that on or about June 30, 2010 this defendant, as a principal and as an aider and abettor, knowingly possessed with intent to distribute fifty (50) grams or more of a mixture and substance containing a detectable amount of methamphetamine, a Schedule II controlled substance, all in violation of 21 U.S.C. §§ 841(a)(1)

and 841(b)(1)(B); charging in **Count Five** that on or about June 10, 2010 this defendant knowingly possessed a firearm, that is an R&G .22 cal. revolver, in furtherance of a drug trafficking crime, that is the knowing and intentional distribution and possession with intent to distribute a mixture and substance containing a detectable amount of methamphetamine, a Schedule II controlled substance, in violation of 21 U.S.C. §§ 841(a)(1) and 841(b)(1)(C), all in violation of 18 U.S.C. § 924(c)(1)(A); and charging in **Count Six** that after on or about June 11, 2010 and before on or about June 30, 2010 this defendant knowingly possessed a firearm, that is an R&G .38 cal. revolver, in furtherance of a drug trafficking crime, that is the knowing and intentional distribution and possession with intent to distribute a mixture or substance containing a detectable amount of methamphetamine, a Schedule II controlled substance, in violation of 21 U.S.C. §§ 841(a)(1) and 841(b)(1)(C), all in violation of 18 U.S.C. § 924(c)(1)(A). In addition, the Indictment contains a **Notice of Forfeiture** apprising the defendant that certain of his property was subject to forfeiture upon conviction of any one or more of the offenses alleged against him.

The plea hearing was conducted before the undersigned on December 16, 2010. The defendant was at all times present in person and with his counsel, Kerry D. Armentrout. The United States was represented by Grayson A. Hoffman, Assistant United States Attorney. The proceedings were recorded by a court reporter. *See* Rule 11(g), Federal Rules of Criminal Procedure. Also used was a certified Spanish language interpreter for the defendant. *See* Rule 28.

With the defendant's informed and written consent, the undersigned made a Rule 11 inquiry; the government presented a written proffer of evidence for the purpose of establishing an independent basis in fact for the plea, and the defendant entered pleas of guilty to the felony offenses charged in Counts One, Four, Five and Six of the Indictment.

DEFENDANT'S RESPONSES TO RULE 11 INQUIRY

The defendant was placed under oath and addressed personally in open court. He expressly acknowledged that he was obligated to testify truthfully in all respects under penalty of perjury and that he understood the government's right, in a prosecution for perjury or false statement, to use against him any statement that he gives under oath. *See* Rule 11(b)(1)(A).

The defendant testified to the following personal facts: his full legal name is RAUL TRONCO-RAMIREZ; he is forty-one (41) years of age, and he completed the 8th grade in school in Mexico. He represented that his ability to understand English is limited; however, with the assistance of the Spanish language interpreter he is able to understand and participate fully without difficulty. He testified that he has no medical condition, either physical or mental, which might interfere with his ability to understand and to participate fully in the court proceeding; he stated that he was using no alcoholic beverage, medication or drugs which might impair his ability to understand and to participate fully in the proceeding; he testified that his mind was clear, and he acknowledged that he was in court for the purpose of entering pleas of guilty to felony offenses which he could not later withdraw. Upon inquiry, the defendant's attorney represented that he had no reservations about the defendant's competency to enter the proposed pleas of guilty.

The defendant acknowledged that he had received a copy of the Indictment, that it had been translated, read and explained to him, and that he fully understood each of the charges against him. He stated that he had discussed the charges with his attorney and had been given enough time to do so. He stated that he specifically understood the offenses charged in Counts One, Four, Five and Six were felony offenses. *See* Rule 11(b)(1)(G). He testified that he had discussed any possible defenses with his attorney and that he had been given adequate time to prepare any defenses he might have to the charges. He stated that his decision to enter pleas of guilty to these four charges had been made after consulting with his attorney and that he was fully satisfied with the services of his attorney.

The defendant confirmed that he fully recognized and understood his right to have the Rule 11 hearing conducted by a United States district judge, and he gave his verbal and written consent to proceed with the hearing before the undersigned United States magistrate judge. The defendant's written consent was filed and made a part of the record.

The attorney for the government informed the court that the defendant's proposed change of his prior pleas was being made pursuant to no plea agreement or other understanding of any nature. Counsel for the defendant and the defendant then separately confirmed that the defendant's decision to enter pleas of guilty was being made without any plea agreement or understanding. The defendant then further testified that no one had made any promise or assurance of any kind in an effort to induce him to enter pleas of guilty in this case and that no one had attempted in any way to force him to plead guilty.

Counsel for the government then outlined the very significant statutory minimum and maximum penalties for conviction of the offenses charged in the Indictment. On further inquiry to the defendant, he stated that he understood confinement in a federal penitentiary for ten (10) years to be the minimum statutory penalty for the offense charged in Count One and the maximum possible penalty provided by law for conviction of the offense charged in Count One to be confinement for life, a \$4,000,000.00 fine, and a term of supervised release or deportation and exclusion from the United States.¹ *See* Rule 11(b)(H)-(I). The defendant next stated that he understood confinement in federal penitentiary for five (5) years to be the minimum statutory penalty for the offense charged in Count Four and the maximum possible penalty provided by law for conviction of the offense charged in Count Four to be confinement for forty (40) years, a \$2,000,000.00 fine, and a term of supervised release or deportation and exclusion from the United States.² *Id.* He stated that he understood confinement in a federal penitentiary for five (5) years, in addition to the punishment imposed for the underlying drug trafficking crime, to be the minimum statutory penalty for the offense charged in Count Five and the maximum possible penalty provided by law for conviction of the offense charged in Count Five to be confinement for life, and a term of supervised release or deportation and exclusion from the United States. *Id.* Likewise, the defendant stated that he understood confinement in a federal penitentiary for twenty-five (25) years, in addition to the punishment imposed for the underlying drug trafficking crime, to be the minimum statutory penalty for the offense charged in Count Six and the maximum possible penalty provided by law for conviction of the offense charged in Count Six to

¹ The defendant was informed that he could be sentenced to less than the statutory minimum number of years of imprisonment if the government makes a substantial assistance motion on his behalf pursuant to 18 U.S.C. § 3553(e).

² See preceding footnote.

be confinement for life, and a term of supervised release or deportation and exclusion from the United States. *Id.*

In addition, the defendant acknowledged that he understood that he would be required to pay a mandatory \$100.00 special assessment per felony conviction count. *See* Rule 11(b)(1)(L).

The defendant then acknowledged that he knew his pleas, if accepted, would result in him being adjudged guilty of a felony offenses and that such adjudication may deprive him of valuable civil rights, such as the right to vote, the right to hold public office, the right to serve on a jury, and the right to possess any kind of firearm.

The defendant was informed, and he expressly acknowledged, that the court's determination of his sentence would include consideration of multiple factors, including: the nature and circumstances of the offense; the defendant's history and characteristics; the seriousness of the offense; the need to promote respect for the law; the need to provide for just punishment and afford adequate deterrence; the need to protect the public; any determined need to provide the defendant with educational or vocational training, medical care or other correctional treatment in the most efficient manner; the kinds of available sentences; the pertinent sentencing guidelines and policy statements; the need to avoid unwanted sentence disparities; and any need to provide for restitution. He also acknowledged that he understood the court may order him to make full restitution to any victim and would require him to forfeit certain property to the government. *See* Rule 11(b)(1)(J)–(K).

The defendant testified that he and his attorney had talked about how the Sentencing Commission Guidelines might apply to his case and the court's obligation to calculate the applicable sentencing-guideline range and to consider that range, possible departures under the Guidelines and other factors under 18 U.S.C. § 3553(a). *See* Rule 11(b)(1)(M). He stated that he understood that the court will not be able to determine the recommended guideline sentence for his case until after the pre-sentence report had been completed and he and the government each had an opportunity to challenge the facts reported by the probation officer.

The defendant then acknowledged that he knew the entry of a guilty plea constituted an admission of all of the elements of a formal felony charge, and he knew that irrespective of any sentence imposed by the court he would have no right to withdraw this guilty pleas. *See* Rule 11(c)(3)(B). He acknowledged that he knew parole had been abolished and that he would not be released on parole. He further acknowledged that he knew and understood any sentence of incarceration imposed by the court would also include a period of "supervised release," and he knew any violation of the terms or conditions of such supervised release could result in his being returned to prison for an additional period of time. *See* Rule 11(b)(1)(H).

Each of his procedural rights surrendered on a plea of guilty was also explained: including, his right to plead not guilty to any offense charged against him and his right to persist in any such not guilty plea; his attendant right to a trial by an impartial jury; his right to counsel to assist in his defense; his presumption of innocence, the obligation of the government to prove his guilt beyond a reasonable doubt, his right at trial to see, to hear, to confront, and to have cross-examined all witnesses presented against him; his right to decline to testify unless he voluntarily

elected to do so in his own defense, his right to remain silent; his right to the issuance of subpoenas or compulsory process to compel the attendance of witnesses to testify in his defense, and his right to a unanimous guilty verdict. *See* Rule 11(b)(1)(B)– (E). The defendant testified that he understood his right to plead not guilty and the attendant trial rights that he would waive by pleading guilty. *See* Rule 11(b)(1)(F).

In direct response to further questioning, the defendant also testified that he was pleading guilty to the four offenses charged in Counts One, Four, Five and Six and that he had in fact participated in the criminal activities described in the government’s written statement of facts.

To permit the court to determine whether an independent basis in fact existed for the defendant’s plea, the government submitted for filing a written proffer which summarized the essential facts the government was prepared to prove at trial. The defendant and his counsel each represented that the statement fairly and accurately summarized the government’s case. *See* Rule 11(b)(3). The government’s written Statement of Facts was filed and made a part of the record.

After testifying that he had heard and understood all parts of the proceeding and after consulting with his attorney, the defendant waived a reading of the indictment. He was then called-upon for his pleas. As to Count One the defendant entered a plea of GUILTY alleging his violation of Title 21 United States Code, Section 846; as to Count Four he entered a plea of GUILTY alleging his violation of Title 21 United States Code, Sections 841(a)(1) and 841(b)(1)(B); as to Count Five he entered a plea of GUILTY alleging his violation of Title 18 United States Code, Section 924(c)(1)(A); and as to Count Six he entered a plea of GUILTY

alleging his subsequent second violation of Title 18 United States Code, Section 924(c)(1)(A). The clerk then read the written guilty plea form to the defendant; after acknowledging it to be correct, the defendant executed it, and it was filed and made a part of the record.

After entering his pleas of guilty and after an independent basis for the plea was established, the defendant was again addressed personally. He reconfirmed that his decision to plead guilty was fully voluntary and that it did not result from any force, threats, promises of leniency or other inducement of any kind. *See* Rule 11(b)(2). The defendant also reconfirmed his complete satisfaction with the services and assistance of his attorney.

The defendant was then informed that acceptance of his guilty pleas would be recommended to the presiding district judge, that a pre-sentence report would be prepared, that he would be asked to give information for that report, that his attorney may be present if he wished, and that he and his attorney would have the right to read the pre-sentence report and to file objections to it. The defendant was then remanded to the custody of the United States Marshal pending preparation of a pre-sentence report and acceptance of his guilty plea.

GOVERNMENT'S EVIDENCE

The government's written Statement of Facts setting forth the factual basis for the offense is incorporated herein by reference.

FINDINGS OF FACT

Based on the evidence, the representations of counsel, and the defendant's sworn testimony presented as part of the hearing, the undersigned submits the following formal findings of fact, conclusions and recommendations:

1. The defendant is fully competent and capable of entering informed pleas to the charges set forth in Counts One, Four, Five and Six of the Indictment;
2. The defendant is fully aware both of the nature of the charge set forth in Count One of the Indictment and of the consequences of his guilty plea, including the applicable mandatory minimum penalty and the statutory maximum possible penalty;
3. The defendant is fully aware both of the nature of the charge set forth in Count Four of the Indictment and of the consequences of his guilty plea, including the applicable mandatory minimum penalty and the statutory maximum possible penalty;
4. The defendant is fully aware both of the nature of the charge set forth in Count Five of the Indictment and of the consequences of his guilty plea, including the applicable consecutive nature of the penalty, mandatory minimum penalty and statutory maximum possible penalty;
5. The defendant is fully aware both of the nature of the charge set forth in Count Six of the Indictment and of the consequences of his guilty plea, including the applicable consecutive nature of the penalty, mandatory minimum penalty and statutory maximum possible penalty;
6. The defendant is fully aware that he may be subject to deportation as a consequence of his pleas of guilty;
7. The defendant is fully informed, and he understands, the applicable enumerated items set forth in Rule 11(b)(1)(A)–(N);
8. The defendant's plea of guilty was made pursuant to no plea agreement or understanding of any nature;
9. The defendant's tender of pleas of guilty to Counts One, Four, Five and Six was made after having consulted and having been advised by counsel;
10. The defendant knowingly and voluntarily entered his pleas of guilty to Counts One Four, Five and Six of the Indictment;

11. The defendant's pleas of guilty did not result from force, threats, inducements or promises of any kind; and
12. The evidence presents an independent basis in fact containing each essential element of all of the offenses to which the defendant has entered pleas of guilty.

RECOMMENDED DISPOSITION

Based on the above findings of fact, the undersigned RECOMMENDS that the court accept the defendant's pleas of guilty to the offenses charged in Counts One, Four, Five and Six of the Indictment and he be adjudged GUILTY of said offenses, and that a sentencing hearing be set by the set for March 9, 2011 at 10:00 a.m. before the presiding district judge.

NOTICE TO PARTIES

Notice is hereby given to the provisions of 28 U.S.C. § 636(b)(1)(c): Within fourteen (14) days after being served with a copy of this Report and Recommendation, any party may serve and file written objections to such proposed findings and recommendations as provided by the rules of court. The presiding district judge shall make a *de novo* determination of those portions of the report or specified findings or recommendations to which an objection is made. The presiding district judge may accept, reject, or modify, in whole or in part, the findings or recommendations made by the undersigned. The presiding district judge may also receive further evidence or recommit the matter to the undersigned with instructions. **A failure to file timely written objections to these proposed findings and recommendations within fourteen (14) days could waive appellate review.**

The clerk is further directed to transmit a copy of this Report and Recommendation to all counsel of record, and at the conclusion of the fourteen-day period the clerk is directed to transmit the record in this matter to the presiding United States district judge.

DATED: this 29th day of December 2010.

s/ James G. Welsh
U.S. Magistrate Judge